

GP1615  
PTO/SB/21 (09-04)Approved for use through 07/31/2006. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

4

Application Number

10/0020,331

Filing Date

12/12/2001

First Named Inventor

Michael T Milbocker

Art Unit

1615

Examiner Name

Blessing M Fubara

Attorney Docket Number

Praxis 5 (PSD 124)

**ENCLOSURES (Check all that apply)**

Fee Transmittal Form



Fee Attached



Amendment/Reply



After Final



Affidavits/declaration(s)



Extension of Time Request



Express Abandonment Request



Information Disclosure Statement



Certified Copy of Priority Document(s)

Reply to Missing Parts/  
Incomplete ApplicationReply to Missing Parts  
under 37 CFR 1.52 or 1.53

Drawing(s)



Licensing-related Papers



Petition

Petition to Convert to a  
Provisional Application

Power of Attorney, Revocation



Change of Correspondence Address



Terminal Disclaimer



Request for Refund



CD, Number of CD(s) \_\_\_\_\_

☐ Landscape Table on CD

After Allowance Communication to TC

Appeal Communication to Board  
of Appeals and InterferencesAppeal Communication to TC  
(Appeal Notice, Brief, Reply Brief)

Proprietary Information



Status Letter

Other Enclosure(s) (please identify  
below):

postcard

**Remarks**

An office action on the merits is pending in this case. Accordingly, a fee of \$180 for submission of an Information Disclosure Statement is enclosed.

03/07/2006 HTECKLU1 00000017 10020331

01 FC:1806

180.00 DP

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm Name

Promethean Surgical <sup>Device</sup> ~~Devices~~ LLC

Signature

Printed name

Francis H Kirkpatrick

Date

3/2/2006

Reg. No.

35,219

**CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature

Typed or printed name

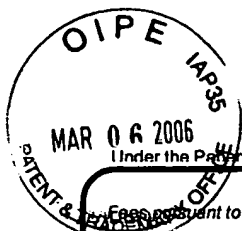
Francis H Kirkpatrick

Date

3/2/2006

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Effective on 12/08/2004.

Fee payment to the Consolidated Appropriations Act, 2005 (H.R. 4818).

**FEE TRANSMITTAL**  
**For FY 2005**☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 60

**Complete if Known**

Application Number	10/020,331
Filing Date	12/12/2001
First Named Inventor	Milbocker, M T
Examiner Name	Blessing M Fubara
Art Unit	1615
Attorney Docket No.	Praxis-5 (PSD 124)

**METHOD OF PAYMENT (check all that apply)**

- ☒ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): \_\_\_\_\_
- ☒ Deposit Account Deposit Account Number: 50-3300 Deposit Account Name: Francis Kirkpatrick
- For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)
- ☐ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, **except for the filing fee**
- ☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**FEE CALCULATION****1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

**2. EXCESS CLAIM FEES**

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 (including Reissues)	50	25
Each independent claim over 3 (including Reissues)	200	100
Multiple dependent claims	360	180
<b>Total Claims</b>	<b>Extra Claims</b>	<b>Fee (\$)</b>
- 20 or HP = _____ x _____ = _____		
HP = highest number of total claims paid for, if greater than 20.		
<b>Indep. Claims</b>	<b>Extra Claims</b>	<b>Fee (\$)</b>
- 3 or HP = _____ x _____ = _____		
HP = highest number of independent claims paid for, if greater than 3.		

**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
- 100 = _____ / 50 = _____ (round up to a whole number) x _____ = _____				

**4. OTHER FEE(S)**

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): Information Disclosure Statement

Fees Paid (\$)

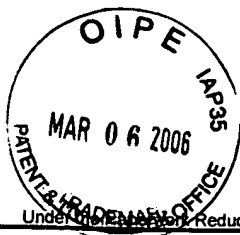
180

**SUBMITTED BY**

Signature		Registration No. (Attorney/Agent) 35,219	Telephone 978-790-7186
Name (Print/Type)	Francis H Kirkpatrick		Date 03-02-2006

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Substitute for form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

### Complete if Known

Application Number	10/020,331
Filing Date	12/12/2001
First Named Inventor	Michael T Milbocker
Art Unit	1615
Examiner Name	Blessing M Fubara
Attorney Docket Number	Praxis 5 (PSD124)

Sheet 1 of 2 3/2/06

### U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
	1	US- 3939123	02-17-1976	Matthews et al	
	2	US- 4118354	10-03-1978	Harada et al	
	3	US- 4577631	03-25-86	Kreamer	
	4	US- 4731470	03-15-1988	Bueltjer et al	
	5	US- 5156613	10-20-1992	Sawyer	
	6	US- 4829099	05-09-1989	Fuller et al	
	7	US- 4898919	02-06-1990	Ueda et al	
	8	US- 5445597	08-29-1955	Clark et al	
	9	US- 5461124	10-24-1995	Ritter et al	
	10	US- 5508111	04-16-1996	Schmucker	
	11	US- 5209776	05-11-1993	Bass et al	
	12	US- 5487897	01-30-1996	Polson	
	13	US- 5571117	11-05-1996	Ahn	
	14	US- 5813975	09-29-1998	Valenti	
	15	US- 5634931	06-03-1997	Kugel	
	16	US- 5866632	02-02-1999	Hashimoto et al	
	17	US- 5925871	07-20-1999	Pantone et al	
	18	US- 5972007	10-26-1999	Sheffield et al	
	19	US- 6162863	12-19-2000	Ramalignam	

### FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				

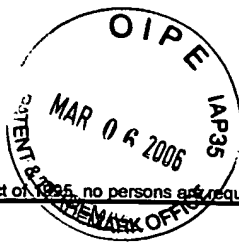
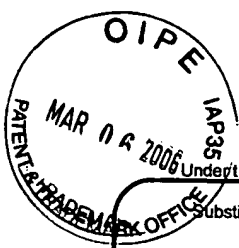
Examiner  
Signature

Date  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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PTO/SB/08A (07-05)

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 2 of 2 3/2/06**Complete if Known**

Application Number	10/020,331
Filing Date	12-12-2001
First Named Inventor	M T Milbocker
Art Unit	1615
Examiner Name	Blessing M Fubara
Attorney Docket Number	Praxis 5 (PSD124)

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		Number-Kind Code <sup>2</sup> (if known)			
	20	US- 6191216	02-20-2001	Ganster	
	21	US- 6197036	03-06-2001	Tripp et al	
	22	US- 6211335	04-03-2001	Owen	
	23	US- 6322492	11-27-2001	Kovac	
	24	US- 6334446	01-01-2002	Beyar	
	25	US- 6502576	01-07-2003	Raz et al	
	26	US- 6503190	01-07-2003	Ulmsten et al	
	27	US- 6528577	03-04-2003	Isozaki et al	
	28	US- 6562932	05-13-2003	Markushch et al	
	29	US- 6589269	07-08-2003	Zhu	
	30	US- 6610779	08-26-2003	Blum et al	
	31	US- 6645137	11-11-2003	Raz et al	
	32	US- 6652595	11-25-2003	Nicolo	
	33	US- 6669654	12-30-2003	Diokno et al	
		US-			
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**FOREIGN PATENT DOCUMENTS**

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		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				

Examiner  
SignatureDate  
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